

Licensing Key Indicators

Richard Fiene, Ph.D.

Research Psychologist

Research Institute for Key Indicators



PennState

College of Health and
Human Development

EDNA BENNETT PIERCE
PREVENTION RESEARCH CENTER

History of Licensing Key Indicators (LKI)

- FIDCR Appropriateness Study 1979.
- Proposed for streamlining monitoring.
- Children's Services Monitoring Transfer Consortium (1980-1984).
- New York City, Pennsylvania, California, West Virginia, Michigan.
- Risk Assessment Rules (RAR) developed in 1985 to supplement LKI.
- Differential Monitoring proposed in 1985 but called Inferential Inspections.
- In 1993 RAR used to develop Stepping Stones to CFOC.
- In 2000 chapter in NARA Licensing Curriculum.
- In 2015 LKI used to help develop *Caring for Our Children Basics*.
- In 2015 NARA and RIKI enter into agreement for the dissemination of LKI.



What are Licensing Key Indicator Rules

- Licensing Key Indicator rules are statistical predictor rules that predict overall compliance with total regulatory compliance.
- LKI rules differ from Risk Assessment Rules because Licensing Key Indicator rules are generally not risk averse as is the case with Risk Assessment Rules.
- Licensing Key Indicators form one of the legs of differential monitoring.
- It is best when Licensing Key Indicators and Risk Assessment Rules are used together and even better when they are used in conjunction with QKI – Quality Key Indicators.



What We Know & What Still Needs to be Done

- Studies have clearly demonstrated that Licensing Key Indicator and Risk Assessment Rules through the use of differential monitoring is both an effective and efficient approach to making licensing decisions.
- Both Licensing Key Indicator and Risk Assessment Rules have been validated in Georgia, Washington, and the Province of Saskatchewan.
- States, Provinces, Jurisdictions need to continue to validate their differential monitoring, licensing key indicator rules, and risk assessment rules.



For Additional Information:

- Go to NARA's web site to their Key Indicator Webpage:
- <https://nara.memberclicks.net/key-indicators>
- Here you will find several examples of reports produced on the development, implementation and validation of Licensing Key Indicators.
- You can also go to the following website for the theory and all the background research related to LKI:
- <http://RIKInstitute.com>



Thank you.

Richard Fiene, Ph.D., Affiliate Professor
Professor of Psychology & Human Development (ret)
RFiene@RIKInstitute.com or rjf8@psu.edu
<https://www.prevention.psu.edu/people/fiene-richard>



PennState

College of Health and
Human Development

EDNA BENNETT PIERCE
PREVENTION RESEARCH CENTER