

# Aligned Monitoring System



June 30, 2014



**HEAD START**

*This information is considered to be privileged information per Exemption (b)(4) under FOIA and not releasable per 5 U.S.C. § 552(b).*

# Why an Aligned Monitoring System?

Transitioning from indefinite to 5-year grant cycle

Provide grantees opportunities for continuous information for program improvement

Multi-year perspective on grantee performance

Focus on quality in addition to compliance

# Enhanced Monitoring System Features

Virtual Team Leader

Specialized Reviewers

Sampling

# Review Processes within the Aligned System

Comprehensive  
Monitoring

for Grantees with a  
History of Findings

Differential  
Monitoring

for Grantees with a  
History of Compliance

# Comprehensive Monitoring Process

Grantees receive comprehensive reviews in the following areas

Management  
Systems and  
Governance

Environmental  
Health and  
Safety

Comprehensive  
Services & School  
Readiness

CLASS™

Fiscal &  
ERSEA

Reviews primarily occur during the first three years of each grant cycle.

# Differential Monitoring Process

Grantees with a history of compliance receive:

Head Start  
Key Indicators  
Review

Environmental  
Health &  
Safety Review

CLASS™  
Review

All grantees not eligible for HSKI-C and grantees not successful during the HSKI-C review will receive a Comprehensive Monitoring Review



# How HSKI-C Works

## HSKI-C Only Used For Grantees with a Positive History of Compliance and No Significant Changes

Grantee History includes:

- Compliant Monitoring Review (previous cycle)
  - No fiscal findings for the past 2 cycles
    - Clean Audits, no DRS criteria met
      - Regional Office input
        - No significant changes

Passed Screener



Differential  
Monitoring

Unsuccessful Screener



Comprehensive  
Review

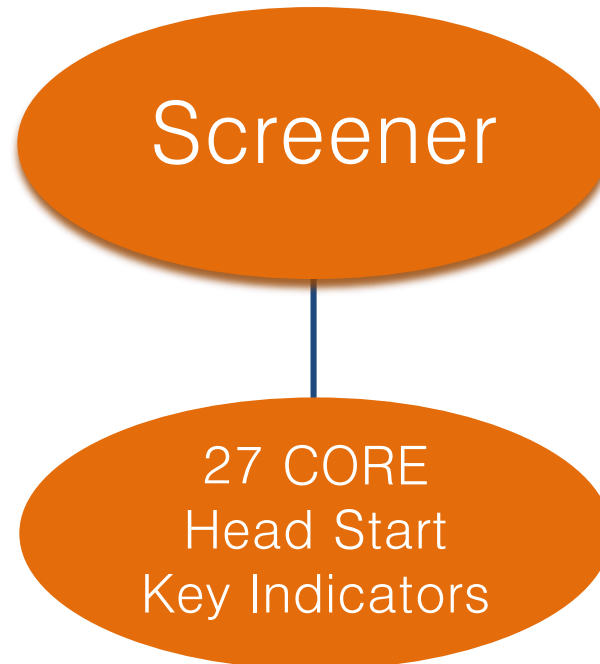
\*Passing grantees receive a differential review during the next 5 year cycle

# What is the OHSMS HSKI-C Tool?

The **OHSMS HSKI-C Tool** is:

An evidence-based, differential monitoring tool used to monitor grantees with a history of compliance

Comprised of a subset of Compliance Measures from the OHSMS Monitoring Protocols





# 5-Year Comprehensive Monitoring Process

## MONITORING

Years 1-2

Environmental  
Health & Safety

Years 2-3

SYS/GOV  
Fiscal/ERSEA  
Comprehensive  
Services &  
School Readiness  
CLASS™

Year 4

Evaluation

Determination

Year 5

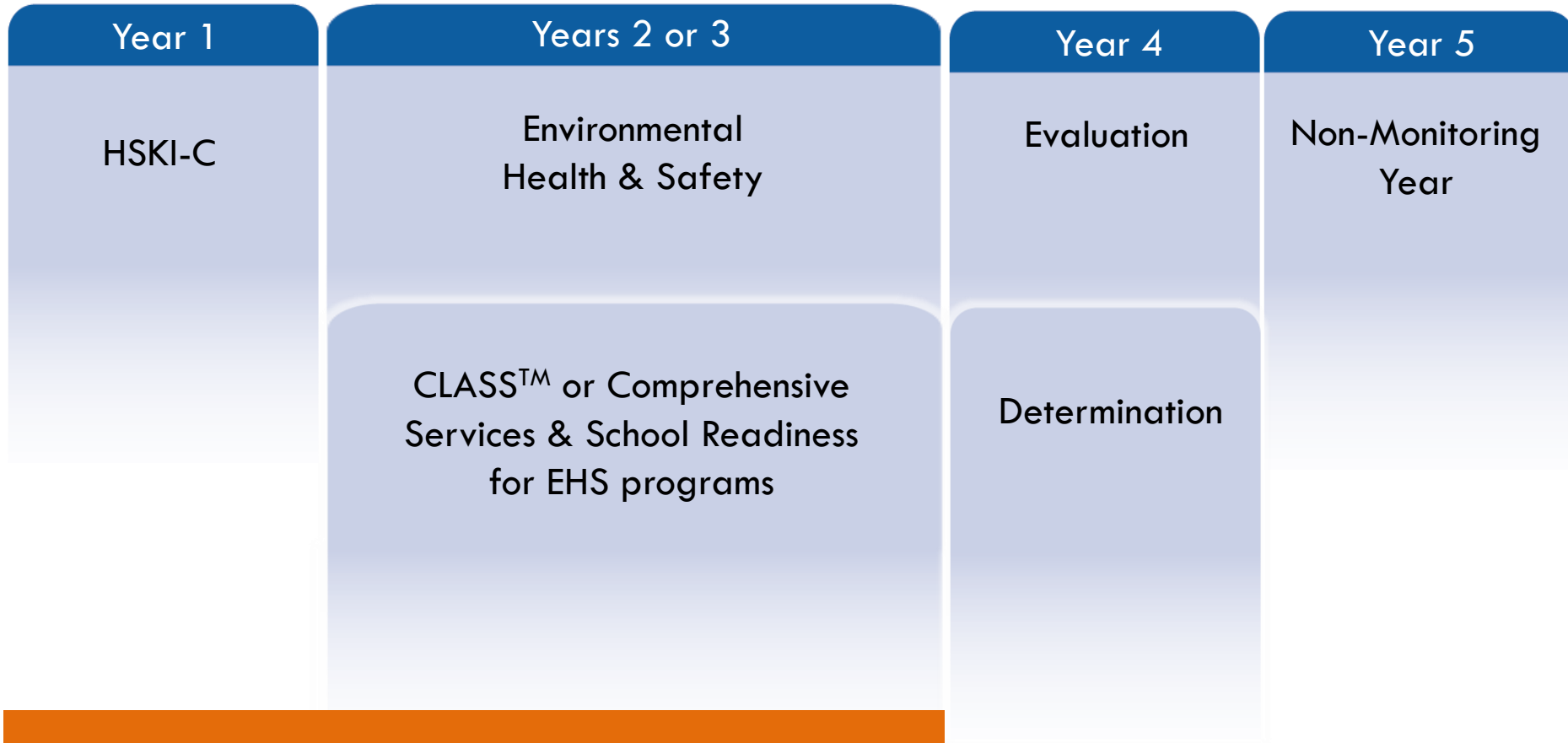
Non-Monitoring  
Year

Reports during Years 1-3

Final Roll-up  
Report

# 5-Year Differential Monitoring Process

## MONITORING



EnvHS and CLASS™ Reports

# Monitoring + 5 Year Oversight

## MONITORING

Year 1	Years 1-2	Years 2-3	Year 4	Year 5
HSKI-C	Environmental Health & Safety	SYS/GOV Fiscal/ERSEA Comprehensive Services & School Readiness CLASS™	Evaluation  Determination	Non-Monitoring Year
Reports during Years 1-3			Final Roll-up Report	

## { Yearly Oversight Outcomes }

Year 1	Year 2	Year 3	Year 4	Year 5
Foundation/ Implementation	Analysis/ Assessment	Validation Review	Determination	Outcome Achieved/ Transitions

# When and How Will I Be Monitored?



# Enhanced Monitoring System Summary

Multi-year perspective on grantee performance

Focus on quality in addition to compliance

Differential monitoring system

Provides continuous opportunities for grantee improvement

Quiz Time!

# Aligned Monitoring System Quiz

The Aligned Monitoring System has been designed to support the Office of Head Start transition from \_\_\_\_\_ to a \_\_\_\_\_.



# Aligned Monitoring System Quiz

Choose one: The multi-year perspective allows for a focus on \_\_\_\_\_ in addition to compliance.

Cost

Quality

Time

Children

# Aligned Monitoring System Quiz

Name the 5 core performance areas

# Aligned Monitoring System Quiz

Choose the three types of reviews a **Head Start grantee with a history of compliance** will receive:

CLASS™

Fiscal/ERSEA

HSKI-C

EnvHS

Comprehensive Services and School  
Readiness

# Aligned Monitoring System Quiz

List two ways a grantee will be eligible to receive the HSKI-C

# Aligned Monitoring System Quiz

What happens if a grantee does not pass the HSKI-C?