



National Resource Center
for Health and Safety
in Child Care and
Early Education

Stepping Stones to Caring for Our Children, 3rd Edition

Compliance/Comparison Checklist

Stepping Stones, Third Edition was developed to be used by multiple audiences to prevent harm and adverse outcomes in children in all early care and education settings.

Suggestions for Use of the Compliance/Comparison Checklist:

- By licensing staff who want to compare *Stepping Stones* standards to the subject areas covered in their state regulations and determine where there are gaps and where regulations should be added.
- By caregivers/teachers/directors who want to be sure they are complying with those standards that have the most potential to prevent harm to children in their settings.
- By families who want to be sure their child’s early care and education program is complying with these important standards.
- By child care health consultants and trainers to assess what topics need to be covered when consulting or training caregivers/teachers/directors.

Compliance/Comparison Checklist

CFOC3 Standard Number	CFOC3 Standard Title	Compliance/Comparison	
		Yes	No
Chapter 1 - Staffing			
1.1.1.1	Ratios for Small Family Child Care Homes		
1.1.1.2	Ratios for Large Family Child Care Homes and Centers		
1.1.1.3	Ratios for Facilities Serving Children with Special Health Care Needs and Disabilities		
1.1.1.4	Ratios and Supervision During Transportation		
1.1.1.5	Ratios and Supervision for Swimming, Wading, and Water Play		

CFOC3 Standard Number	CFOC3 Standard Title	Compliance/Comparison	
		Yes	No
<u>1.2.0.2</u>	Background Screening		
<u>1.3.1.1</u>	General Qualifications of Directors		
<u>1.3.2.2</u>	Qualifications of Lead Teachers and Teachers		
<u>1.3.3.1</u>	General Qualifications of Family Child Care Caregivers/Teachers to Operate a Family Child Care Home		
<u>1.4.1.1</u>	Pre-service Training		
<u>1.4.2.2</u>	Orientation for Care of Children with Special Health Care Needs		
<u>1.4.2.3</u>	Orientation Topics		
<u>1.4.3.1</u>	First Aid and CPR Training for Staff		
<u>1.4.3.2</u>	Topics Covered in First Aid Training		
<u>1.4.3.3</u>	CPR Training for Swimming and Water Play		
<u>1.4.5.1</u>	Training of Staff Who Handle Food		
<u>1.4.5.2</u>	Child Abuse and Neglect Education		
<u>1.5.0.1</u>	Employment of Substitutes		
<u>1.5.0.2</u>	Orientation of Substitutes		
<u>1.6.0.1</u>	Child Care Health Consultants		
Chapter 2 - Program Activities for Healthy Development			
<u>2.1.1.4</u>	Monitoring Children's Development/Obtaining Consent for Screening		
<u>2.1.2.1</u>	Personal Caregiver/Teacher Relationships for Infants and Toddlers		
<u>2.2.0.1</u>	Methods of Supervision of Children		
<u>2.2.0.4</u>	Supervision Near Bodies of Water		
<u>2.2.0.6</u>	Discipline Measures		
<u>2.2.0.8</u>	Preventing Expulsions, Suspensions, and Other Limitations in Services		
<u>2.2.0.9</u>	Prohibited Caregiver/Teacher Behaviors		
<u>2.2.0.10</u>	Using Physical Restraint		
<u>2.3.3.1</u>	Parents'/Guardians' Provision of Information on their Child's Health and Behavior		

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		Yes	No
Chapter 3 - Health Promotion and Protection			
3.1.2.1	Routine Health Supervision and Growth Monitoring		
3.1.3.1	Active Opportunities for Physical Activity		
3.1.3.2	Playing Outdoors		
3.1.4.1	Safe Sleep Practices and SIDS Risk Reduction		
3.1.5.1	Routine Oral Hygiene Activities		
3.2.1.4	Diaper Changing Procedure		
3.2.2.1	Situations that Require Hand Hygiene		
3.2.2.2	Handwashing Procedure		
3.2.2.3	Assisting Children with Hand Hygiene		
3.2.3.4	Prevention of Exposure to Blood and Body Fluids		
3.3.0.1	Routine Cleaning, Sanitizing, and Disinfecting		
3.4.1.1	Use of Tobacco, Alcohol, and Illegal Drugs		
3.4.3.1	Emergency Procedures		
3.4.3.3	Response to Fire and Burns		
3.4.4.1	Recognizing and Reporting Suspected Child Abuse, Neglect, and Exploitation		
3.4.4.3	Preventing and Identifying Shaken Baby Syndrome/Abusive Head Trauma		
3.4.5.1	Sun Safety Including Sunscreen		
3.4.6.1	Strangulation Hazards		
3.5.0.1	Care Plan for Children with Special Health Care Needs		
3.5.0.2	Caring for Children Who Require Medical Procedures		
3.6.1.1	Inclusion/Exclusion/Dismissal of Children		
3.6.1.2	Staff Exclusion for Illness		
3.6.1.4	Infectious Disease Outbreak Control		
3.6.3.1	Medication Administration		
3.6.3.2	Labeling, Storage, and Disposal of Medications		
3.6.3.3	Training of Caregivers/Teachers to Administer Medication		

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Chapter 4 - Nutrition and Food Service			
4.2.0.3	Use of USDA - CACFP Guidelines		
4.2.0.6	Availability of Drinking Water		
4.2.0.8	Feeding Plans and Dietary Modifications		
4.2.0.10	Care for Children with Food Allergies		
4.3.1.3	Preparing, Feeding, and Storing Human Milk		
4.3.1.5	Preparing, Feeding, and Storing Infant Formula		
4.3.1.9	Warming Bottles and Infant Foods		
4.3.1.11	Introduction of Age-Appropriate Solid Foods to Infants		
4.5.0.6	Adult Supervision of Children Who Are Learning to Feed themselves		
4.5.0.9	Hot Liquids and Foods		
4.5.0.10	Foods that Are Choking Hazards		
4.8.0.1	Food Preparation Area		
4.8.0.3	Maintenance of Food Service Surfaces and Equipment		
4.9.0.2	Staff Restricted From Food Preparation and Handling		
4.9.0.3	Precautions for a Safe Food Supply		
Chapter 5 - Facilities, Supplies, Equipment, and Environmental Health			
5.1.1.2	Inspection of Buildings		
5.1.1.3	Compliance with Fire Prevention Code		
5.1.1.5	Environmental Audit of Site Location		
5.1.3.2	Possibility of Exit From Windows		
5.1.4.1	Alternate Exits and Emergency Shelter		
5.1.5.4	Guards At Stairway Access Openings		
5.1.6.6	Guardrails and Protective Barriers		
5.2.1.1	Fresh Air		
5.2.1.10	Gas, Oil or Kerosene Heaters, Generators, Portable Gas Stoves, and Charcoal and Gas Grills		
5.2.1.11	Portable Electric Space Heaters		
5.2.4.2	Safety Covers and Shock Protection Devices for Electrical Outlets		

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		Yes	No
5.2.4.4	Location of Electrical Devices Near Water		
5.2.5.1	Smoke Detection Systems and Smoke Alarms		
5.2.6.3	Testing for Lead and Copper Levels in Drinking Water		
5.2.7.6	Storage and Disposal of Infectious and Toxic Wastes		
5.2.8.1	Integrated Pest Management		
5.2.9.1	Use and Storage of Toxic Substances		
5.2.9.2	Use of a Poison Center		
5.2.9.3	Informing Staff Regarding Presence of Toxic Substances		
5.2.9.4	Radon Concentrations		
5.2.9.5	Carbon Monoxide Detectors		
5.2.9.13	Testing for Lead		
5.3.1.1	Safety of Equipment, Materials, and Furnishings		
5.3.1.12	Availability and Use of a Telephone or Wireless Communication Device		
5.4.5.2	Cribs		
5.5.0.6	Inaccessibility to Matches, Candles and Lighters		
5.5.0.7	Storage of Plastic Bags		
5.5.0.8	Firearms		
5.6.0.1	First Aid and Emergency Supplies		
5.7.0.4	Inaccessibility of Hazardous Equipment		
Chapter 6 - Play Areas/Playgrounds and Transportation			
6.1.0.6	Location of Play Areas Near Bodies of Water		
6.1.0.8	Enclosures for Outdoor Play Areas		
6.2.1.9	Entrapment Hazards of Play Equipment		
6.2.3.1	Prohibited Surfaces for Placing Climbing Equipment		
6.2.4.4	Trampolines		
6.2.5.1	Inspection of Indoor and Outdoor Play Areas and Equipment		

CFOC3 Standard Number	CFOC3 Standard Title	Compliance/Comparison	
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6.3.1.1	Enclosure of Bodies of Water		
6.3.1.4	Safety Covers for Swimming Pools		
6.3.1.6	Pool Drain Covers		
6.3.2.1	Lifesaving Equipment		
6.3.5.1	Hot Tubs, Spas, and Saunas		
6.3.5.2	Water in Containers		
6.4.1.2	Inaccessibility of Toys or Objects to Children Under Three Years of Age		
6.4.1.5	Balloons		
6.4.2.2	Helmets		
6.5.1.1	Competence and Training of Transportation Staff		
6.5.1.2	Qualifications for Drivers		
6.5.2.2	Child Passenger Safety		
6.5.2.4	Interior Temperature of Vehicles		
6.5.3.1	Passenger Vans		
Chapter 7 - Infectious Diseases			
7.2.0.2	Unimmunized Children		
7.2.0.3	Immunization of Caregivers/Teachers		
7.3.3.1	Influenza Immunizations for Children and Caregivers		
7.3.3.2	Influenza Control		
7.3.5.1	Recommended Control Measures for Invasive Meningococcal Infection in a Child Care Center		
7.4.0.1	Control of Enteric (Diarrheal) and Hepatitis A Virus (HAV) Infections		
7.5.10.1	Staphylococcus Aureus Skin Infections Including MRSA		
Chapter 9 - Policies			
9.2.3.2	Content and Development of the Plan for Care of Children and Staff Who Are Ill		
9.2.3.12	Infant Feeding Policy		

CFOC3 Standard Number	CFOC3 Standard Title	Compliance/ Comparison	
		Yes	No
<u>9.2.4.1</u>	Written Plan and Training for Handling Urgent Medical Care or Threatening Incidents		
<u>9.2.4.3</u>	Disaster Planning, Training and Communication		
<u>9.2.4.5</u>	Emergency and Evacuation Drills/Exercises Policy		
<u>9.2.4.7</u>	Sign-In/Sign-Out System		
<u>9.2.4.8</u>	Authorized Persons to Pick Up Child		
<u>9.4.1.10</u>	Documentation of Parent/Guardian Notification of Injury, Illness or Death in Program		
<u>9.4.1.12</u>	Record of Valid License, Certificate or Registration of Facility		
<u>9.4.2.6</u>	Contents of Medication Record		
Chapter 10 - Licensing and Community Action			
<u>10.4.2.1</u>	Frequency of Inspections for Child Care Centers, Large Family Child Care Homes, and Small Family Child Care Homes		

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Availability

The full texts of *Stepping Stones to Caring for Our Children*, Third Edition and *Caring for Our Children: National Health and Safety Performance Standards; Guidelines for Early Care and Education Programs*, Third Edition are available online through the National Resource Center for Health and Safety in Child Care and Early Education website (<http://nrckids.org/CFOC3/index.html>).

Print copies of the comprehensive source document *Caring for Our Children*, Third Edition are available from the American Academy of Pediatrics (<http://www.aap.org>) and the American Public Health Association (<http://www.apha.org/publications/bookstore/>).

