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New York State
Child Care and Development Fund Plan
FFY 2016-2018

Janice Molnar, Deputy Commissioner, Division of Child Care Services
Overview of the Child Care and Development Fund (CCDF) Plan

• The CCDF Plan = the agreement between the Lead Agency and the Federal Government as to how CCDF programs will be administered
• Lead Agency = OCFS
• 2 ½ year plan: FFY 2016-2018 (for the period June 1, 2016 – September 30, 2018)
Timeline

- **Draft Plan** is posted to the OCFS website:

- **Public Hearings:**
  - Rensselaer, January 26
  - Rochester, January 27
  - New York City, January 29

- **Comments integrated** into draft Plan

- **Final draft** submitted to the federal Office of Child Care by March 1
The Child Care and Development Block Grant (CCDBG) Act of 2014

• The CCDBG Act of 2014 reauthorized the Child Care and Development Fund (CCDF) for the first time since 1996
• Passed in Congress on Nov. 7, 2014 and was signed into law by President Obama on Nov. 19, 2014
• The CCDF is a $5.3 billion block grant that provides funding to States to improve the quality of child care and provide access to low-income families
• In 2014, NYS’s CCDF allocation was $303 million
• CCDBG reauthorizes the CCDF through FY 2020
Sections of the Plan

• Part 1: Leadership and Coordination
• Part 2: Family Engagement
• Part 3: Financial Assistance to Families
• Part 4: Equal Access
• Part 5: Health & Safety Standards and Monitoring
• Part 6: Child Care Workforce
• Part 7: Quality Improvement
• Part 8: Program Integrity
Part 1: Leadership & Coordination

1.1 CCDF Leadership
1.2 CCDF Policy Decision Authority
1.3 Consultation in the Development of the CCDF Plan
1.4 Coordination with Partners to Expand Accessibility and Continuity of Care
1.5 Optional Use of Combined Funds
1.6 Public-Private Partnerships
1.7 Coordination with Local or Regional Child Care Resource and Referral Systems
1.8 Disaster Preparedness and Response Plan
Service Delivery: Our Partners

- 58 Local Department of Social Services
- Office of Temporary & Disability Assistance
- 36 CCR&Rs
- NYC Department of Health & Mental Hygiene
- NYS Department of Health
- SUNY & CUNY
- Other (incl. Early Childhood Advisory Council, WHEDCo, CSEA, UFT, NYS Department of Agriculture & Markets, AfterSchool Works! NY: the New York State Afterschool Network)
Part 2: Family Engagement

2.1 Child Care Financial Assistance Availability and Application Process

2.2 Consumer and Provider Education Information

2.3 Website for Consumer Education
Part 2: Progress to Date

- Website for Consumer Education
  - Searchable website
  - Information is available in other languages
  - Recent additions to links to other public benefits
- Information about the quality of child care providers
Part 2: Unmet Requirements

• Research and best practices
• Policy on expulsion
• Access to developmental screenings
• Consumer Education Website
Part 3: Financial Assistance to Families

3.1 Eligible Children and Families
3.2 Increasing Access for Vulnerable Children and Families
3.3 Protection for Working Parents
3.4 Family Contribution to Payment
Part 3: Progress to Date

- Policy related to Fluctuation in Earnings
- Policies and procedures for not unduly interrupting employment
Part 3: Unmet Requirements

- 12-month eligibility
- Graduated phase-out
- Improving access to homeless children and families
- Option to terminate assistance within 12-month period
  - New York State has elected to do this
Part 4: Equal Access

4.1 Parental Choice in Relation to Certificates, Grants or Contracts

4.2 Assessing Market Rates and Child Care Costs

4.3 Setting Payment Rates

4.4 Summary of Facts Used to Determine that Payment Rates are Sufficient to Ensure Equal Access

4.5 Payment Practices and Timeliness of Payments

4.6 Supply Building Strategies to Meet the Needs of Certain Populations
Part 4: Progress to Date

- New Market Rates will be issued by June 1, 2016
- Data Analysis is currently ongoing
- For existing rates, payments are sufficient to ensure equal access
Part 4: Unmet Requirements

• Payment practices
• Supply building
  o Infants and Toddlers
  o Children with disabilities
  o Non-traditional hours
  o Homeless children
• Increase access to programs providing high quality child care and developmental screenings
Part 5: Health & Safety Standards and Monitoring

5.1 Licensing Requirements and Standards
5.2 Monitoring and Enforcement Policies and Practices
5.3 Criminal Background Checks
Part 5: Progress to Date

• Child Care standards address appropriate ratios between the number of children and the number of providers and group size

• Required qualifications for providers are appropriate to each type of setting

• Policies and practices to ensure that providers for children receiving assistance and their facilities comply with applicable State or local licensing and health and safety requirements
Part 5: Progress to Date (cont’d)

• Policies and practices that ensure that individuals who are hired as licensing inspectors in the State/Territory are qualified to inspect those child care providers and facilities and have received training in related health and safety requirements, and are trained in all aspects of the State’s licensure requirements
Part 5: Unmet Requirements

• Establish health and safety requirements for providers serving children receiving CCDF assistance relating to matters included in the topics listed below, and have pre-service or orientation training requirements that address these health and safety topics:
  - Prevention and control of infectious diseases (including immunization)
  - Prevention of SIDS and use of safe sleeping practices
  - Administration of medication, consistent with standards for parental consent
Part 5: Unmet Requirements (cont’d)

- Prevention of and response to emergencies due to food and allergic reactions
- Building and physical premises safety
- Prevention of shaken baby syndrome and abusive head trauma
- Emergency preparedness and response planning for emergencies resulting from a natural disaster, or a man-caused event
- Handling and storage of hazardous materials and the appropriate disposal of bio contaminants
- Precautions in transporting children (if applicable)
- First aid and cardiopulmonary resuscitation (CPR) certification
Part 5: Unmet Requirements (cont’d)

• Require licensing inspectors to perform inspections:
  o with not less than **one prelicensure inspection**, for compliance with health, safety, and fire standards, of each child care provider and facility in the State
  o not less than annually, **one unannounced inspection** of licensed CCDF providers for compliance with all child care licensing standards, which shall include an inspection for compliance with health, safety, and fire standards
Part 5: Unmet Requirements (cont’d)

• Policies and practices that require licensing inspectors (or qualified monitors designated by the lead agency) of child care providers and facilities to perform an annual monitoring visit of each license-exempt CCDF provider.

• Policies and practices that require the ratio of licensing inspectors to such child care providers and facilities to be maintained at a level sufficient to enable the State to conduct inspections of such child care providers and facilities on a timely basis in accordance with Federal, State, and local law.
Part 5: Unmet Requirements (cont’d)

• Child abuse reporting requirements are in place and comply with section of the Child Abuse Prevention and Treatment Act
• Policies, and procedures for criminal background checks for child care staff members and child care providers
Part 6: Child Care Workforce

6.1 Training and Professional Development Requirements

6.2 Supporting Training and Professional Development of the Child Care Workforce with CCDF Quality Funds

6.3 Early Learning and Developmental Guidelines
Part 6: Progress to Date

• Training and professional development requirements for regulated providers and programs
• Policies and practices to strengthen provider’s business practices
• Early learning and development guidelines appropriate for children from birth to kindergarten entry
Part 6: Unmet Requirements

• Training and professional development requirements for legally-exempt providers
• Improve the quality or availability of child care, including training and technical assistance to providers on identifying and serving homeless children and families
• Training and technical assistance for programs serving children who are homeless
Part 7: Quality Improvement

7.1 Activities to Improve the Quality of Child Care Services
7.2 Quality Rating and Improvement System
7.3 Improving the Supply and Quality of Child Care Programs and Services for Infants and Toddlers
7.4 Child Care Resource & Referral
7.5 Facilitating Compliance with State Standards
7.6 Evaluating and Assessing the Quality and Effectiveness of Child Care Programs and Services
7.7 Accreditation Support
7.8 Program Standards
7.9 Other Quality Improvement Activities
Part 7: Progress to Date

NYS’s Overarching Goals for Quality Improvement:

1. Supporting the training and professional development of the child care workforce.

2. Improving the quality of child care programs and services for infants and toddlers by supporting seven Infant/Toddler Regional Resource centers throughout the state.

3. Supporting a statewide network of 36 CCR&R agencies, along with the Early Care and Learning Council, which is the membership organization for CCR&Rs in New York State.
4. Supporting compliance with state requirements for licensing, inspection, monitoring, training, and health and safety.

5. Supporting providers in the voluntary pursuit of accreditation through the Educational Incentive Program.

6. Supporting the development or adoption of high-quality program and regulatory standards related to health, mental health, nutrition, physical activity, and physical development.
Part 7: Progress to Date (cont’d)

Developing a System of Quality Indicators for Regulated Child Care Programs

- Dr. Richard Fiene, Director, Research Institute for Key Indicators
- Differential Monitoring – using the key indicator methodology process to identify the strongest predictors of full regulatory compliance
Part 8: Grantee Program Integrity & Accountability

8.1 Program Integrity

- Child Care Time and Attendance (CCTA) System
- Child Care Program Integrity (CCPI)
Comments

Thank you!
For information about Child Care in New York State visit:

http://www.ocfs.state.ny.us/main/childcare/default.asp
NYS Child Care and Development Fund Plan (CCDF) FFY 2016-2018
CSEA/UFT Provider Overview
January 26, 2016
Agenda

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