Aligned Monitoring System

June 30, 2014

This information is considered to be privileged information per Exemption (b)(4) under FOIA and not releasable per 5 U.S.C. § 552(b).
Why an Aligned Monitoring System?

- Transitioning from indefinite to 5-year grant cycle
- Provide grantees opportunities for continuous information for program improvement
- Multi-year perspective on grantee performance
- Focus on quality in addition to compliance
Enhanced Monitoring System Features

Virtual Team Leader

Specialized Reviewers

Sampling
Review Processes within the Aligned System

Comprehensive Monitoring for Grantees with a History of Findings

Differential Monitoring for Grantees with a History of Compliance
Comprehensive Monitoring Process

Grantees receive comprehensive reviews in the following areas:

- Management Systems and Governance
- Environmental Health and Safety
- Comprehensive Services & School Readiness
- CLASS™
- Fiscal & ERSEA

Reviews primarily occur during the first three years of each grant cycle.
Differential Monitoring Process

Grantees with a history of compliance receive:

- Head Start Key Indicators Review
- Environmental Health & Safety Review
- CLASS™ Review

All grantees not eligible for HSKI–C and grantees not successful during the HSKI–C review will receive a Comprehensive Monitoring Review.
How HSKI-C Works

HSKI-C Only Used For Grantees with a Positive History of Compliance and No Significant Changes

Grantee History includes:

- Compliant Monitoring Review (previous cycle)
  - No fiscal findings for the past 2 cycles
    - Clean Audits, no DRS criteria met
    - Regional Office input
      - No significant changes

*Passing grantees receive a differential review during the next 5 year cycle*
What is the OHSMS HSKI–C Tool?

The **OHSMS HSKI–C Tool** is:

An evidence-based, differential monitoring tool used to monitor grantees with a history of compliance

Comprised of a subset of Compliance Measures from the OHSMS Monitoring Protocols

Screener

27 CORE Head Start Key Indicators
5-Year Comprehensive Monitoring Process

- **Years 1-2**: Environmental Health & Safety
- **Years 2-3**: SYS/GOV, Fiscal/ERSEA, Comprehensive Services & School Readiness, CLASS™
- **Year 4**: Evaluation, Determination
- **Year 5**: Non-Monitoring Year

Reports during Years 1–3

Final Roll-up Report
5-Year Differential Monitoring Process

### Year 1
- HSKI-C

### Years 2 or 3
- Environmental Health & Safety
- CLASS™ or Comprehensive Services & School Readiness for EHS programs

### Year 4
- Evaluation
- Determination

### Year 5
- Non-Monitoring Year

EnvHS and CLASS™ Reports
# Monitoring + 5 Year Oversight

## Monitoring

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Years 1-2</th>
<th>Years 2-3</th>
<th>Year 4</th>
<th>Year 5</th>
</tr>
</thead>
<tbody>
<tr>
<td>HSKI-C</td>
<td>Environmental Health &amp; Safety</td>
<td>SYS/GOV Fiscal/ERSEA Comprehensive Services &amp; School Readiness CLASS™</td>
<td>Evaluation</td>
<td>Non-Monitoring Year</td>
</tr>
</tbody>
</table>

### Reports during Years 1–3

- Foundation/Implementation
- Analysis/Assessment
- Validation Review
- Determination
- Outcome Achieved/Transitions

### Yearly Oversight Outcomes
When and How Will I Be Monitored?
Enhanced Monitoring System Summary

Multi-year perspective on grantee performance

Focus on quality in addition to compliance

Differential monitoring system

Provides continuous opportunities for grantee improvement
Quiz Time!
The Aligned Monitoring System has been designed to support the Office of Head Start transition from _____________ to a ________________.
Choose one: The multi-year perspective allows for a focus on __________ in addition to compliance.

- Cost
- Quality
- Time
- Children
Aligned Monitoring System Quiz

Name the 5 core performance areas
Choose the three types of reviews a Head Start grantee with a history of compliance will receive:

CLASS™

Fiscal/ERSEA

HSKI–C

EnvHS

Comprehensive Services and School Readiness
List two ways a grantee will be eligible to receive the HSKI–C
What happens if a grantee does not pass the HSKI–C?